

PRIVACY NOTICE TO CLIENTS OF D.B. McKENNA & CO., INC.

D.B. McKenna & Co., Inc. is committed to safeguarding the confidential information of its clients. We hold all personal information provided to our firm in the strictest confidence. These records include all personal information that we collect from you in connection with any of the services provided by D.B. McKenna & Co., Inc. We are providing this information to you as required by Regulation S-P adopted by the Securities and Exchange Commission. This notice will help you understand how we safeguard and use information.

- We collect nonpublic personal information from the following sources: information we receive from you on applications or other form, information about your transactions with us or with others, and information we may receive from a consumer reporting agency.
- We do not disclose any nonpublic personal information about you to anyone, except as permitted by law. We follow the same policy with respect to nonpublic information received from all clients and former clients.
- We limit employee access to information only to those who have a business or professional reason for knowing, and only to nonaffiliated parties as permitted by law. (For example, federal regulations permit us to share a limited amount of information about you with our brokerage firm Raymond James & Associates, Inc. in order to execute securities transactions on your behalf.)
- We maintain physical, electronic, and procedural safeguards to guard your nonpublic personal information.
- We require your consent to disclose nonpublic personal information to your lawyers, accountants, and other retained professionals.
- Personally identifiable information about you will be maintained during the time you are a client, and for the required time thereafter that such records are required to be maintained by federal and state securities laws.