



WWW.ETHICSHECK.COM

THE NATIONAL ETHICS BUREAU™

MY PROFESSIONAL PROFILE

McDOWELL CAPITAL MANAGEMENT of RAYMOND JAMES & ASSOCIATES, INC. Richard M. McDowell, CFP® Senior Vice President, Investments 888-882-8845 Toll Free Mac.McDowell@RaymondJames.com

RICHARD M. MCDOWELL

Member Status: APPROVED Member Through: 18 October 2008

The National Ethics Bureau™ (NEB) Research and Background Department has confirmed that RICHARD M. MCDOWELL has met the qualifications for membership in the National Ethics Bureau™.

RICHARD M. MCDOWELL

FRISCO, TX 75034 (214) 387-8854 www.macmcdowell.com

Passed The Ethics Check System™ for 2007

RICHARD M. MCDOWELL has successfully passed our Ethics Check System™, which includes a seven year background check for criminal, civil and business violations as detailed below:

- Checkmarks for Criminal Background Check, Civil Background Check, Professional License Check, Department of Insurance, Financial Industry Regulatory Authority (FINRA), Securities and Exchange Commission, State Departments of Corporation, Commerce and Securities, and Other Federal, State and Private Agencies.

PROFILE DETAILS

The following information is posted by the member and is therefore not verified by the NEB.

OVERVIEW

Richard "Mac" McDowell, CIMA® CFP®, is the Principal and Founder of McDowell Capital Management of Raymond James and Associates, Inc. His group caters to the needs of high net worth families with comprehensive financial planning and institutional asset management.

EDUCATION

BBA - University of North Texas, 1991 /// College for Financial Planning - CERTIFIED FINANCIAL PLANNER™, 2005 Certified Investment Management Analyst (CIMA®), 2007

ASSOCIATIONS

Financial Planning Association (FPA) // Investment Management Consultants Association (IMCA) // Member - National Ethics Bureau // Member - Frisco Chamber of Commerce

DISCLAIMER: The information in the Profile Details section of this document has been posted by the individual member and is therefore NOT verified by the NEB. All NEB members have been verified as to their qualifications for member approval, however, the NEB provides no guaranteed assurance or warranty of the ethical integrity of its members.