FT FINANCIAL TIMES | Top Financial Advisers 2016

Tandy G. Lewis, Jr., Managing Director at Raymond James & Associates, Inc. Named to 2016 Financial Times 400 Top Financial Advisers

March 31, 2016 – Tandy G. Lewis, Jr., is pleased to announce that he has been named to the Financial Times 400 Top Financial Advisers, as of March 31, 2016. The list recognizes top financial advisers at traditional broker-dealer firms from across the U.S.

This is the fourth annual FT 400 list, produced independently by the FT in collaboration with Ignites Research, a subsidiary of the FT. Nearly 1,000 elite financial advisers applied for consideration, having met minimum requirements for Assets Under Management (AUM) and experience. The adviser applicants were then graded on six criteria: AUM; AUM growth rate; experience; advanced industry credentials; online accessibility; and compliance records.

The “average” adviser in this year’s FT 400 has 26 years’ experience and manages $1.6 billion in assets. The FT 400 advisers hail from 38 states and Washington, D.C.

The FT 400 is one in series of rankings of top advisers that the FT developed in partnership with Ignites Research, including the FT 300 (independent RIA firms) and the FT 401 (DC retirement plan advisers).

The FT 400 was developed in collaboration with Ignites Research, a subsidiary of the FT that provides specialized content on asset management. To qualify for the list, advisers had to have 10 years of experience and at least $300 million in assets under management (AUM) and no more than 60% of the AUM with institutional clients. The FT reaches out to some of the largest brokerages in the U.S. and asks them to provide a list of advisors who meet the minimum criteria outlined above. These advisors are then invited to apply for the ranking. Only advisors who submit an online application can be considered for the ranking. In 2016 roughly 980 applications received; 40.8% (400) were selected for the final list. The 400 qualified advisers were then scored on six attributes: AUM, AUM growth rate, compliance record, years of experience, industry certifications, and online accessibility. AUM is the top factor, accounting for roughly 60-70 percent of the applicant's score. Additionally, to provide a diversity of advisors, the FT placed a cap on the number of advisors from any one state that's roughly correlated to the distribution of millionaires across the U.S. The ranking may not be representative of any one client's experience, is not an endorsement, and is not indicative of advisor's future performance. Neither Raymond James nor any of its Financial Advisors pay a fee in exchange for this award/rating. The FT is not affiliated with Raymond James_

FT
FINANCIAL TIMES

Top
Financial Advisers
2016