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Goal Planning and Monitoring Personal Information Form

Our Goal Planning and Monitoring process is a data-driven tool that helps you plan for living in retirement.
The more information you are able to provide, the more accurate the plan will be. Please contact 717-848-1248 with any questions.

PERSONAL INFORMATION

GPM CLIENT 1	GPM CLIENT 2 *(if applicable)*
Name 1:	**Name 2 (if applicable):**
Date of Birth:	**Date of Birth:**
Cell Phone:	**Cell Phone:**
E-mail Address:	**E-mail Address:**

EMPLOYMENT INFORMATION

GPM CLIENT 1	GPM CLIENT 2 *(if applicable)*
Most Recent Employer:	**Most Recent Employer:**
Position:	**Position:**
Annual Salary:	**Annual Salary:**
Anticipated Retirement Date/Year:	**Anticipated Retirement Date/Year:**
How long have you been employed by this employer?	**How long have you been employed by this employer?**
Do you have an employer-sponsored retirement plan (i.e. 401(k), SIMPLE Plan, etc) **through this employer?** ○ Yes ○ No **Current Balance:**	**Do you have an employer-sponsored retirement plan** (i.e. 401(k), SIMPLE Plan, etc) **through this employer?** ○ Yes ○ No **Current Balance:**
If yes, are you contributing to this retirement plan? ○ Yes ○ No ○ N/A **Amount/percentage are you contributing:**	**If yes, are you contributing to this retirement plan?** ○ Yes ○ No ○ N/A **Amount/percentage are you contributing:**
If applicable, what are the terms of your employer match and/or pension?	**If applicable, what are the terms of your employer match and/or pension?**

REAL ESTATE ASSETS AND PLANNING

Monthly Mortgage Payment/Rent (excluding escrows): **Property Tax & Insurance:**

Current Mortgage Balance & Interest Rate: **Estimated Mortgage Pay off Date:**

Do you Own/Rent additional properties for personal use (vacation or otherwise)? ○ Yes ○ No

Location of additional property: **Annual Profit from additional property:**

Monthly Mortgage Payment/Rent Property 2 (excl. escrows)**:** **Property Tax & Insurance:**

Current Mortgage Balance & Interest Rate: **Estimated Mortgage Pay off Date:**

Do you intend to own/rent additional properties for personal use in the future? ○ Yes ○ No

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Additional Account Details

GPM CLIENT 1	GPM CLIENT 2 *(if applicable)*
Do you hold an IRA presently? ○ Yes ○ No **Current Value:**	**Do you hold an IRA presently?** ○ Yes ○ No **Current Value:**
Do you hold a ROTH IRA presently? ○ Yes ○ No **Current Value:**	**Do you hold a ROTH IRA presently?** ○ Yes ○ No **Current Value:**
Do you hold additional 401(k) or employer-sponsored retirement plans through a former employer(s)? ○ Yes ○ No **Sum value of former employer sponsored account(s):**	**Do you hold additional 401(k) or employer-sponsored retirement plans through a former employer(s)?** ○ Yes ○ No **Sum value of former employer sponsored account(s):**
Do you hold any individual investment account(s)? ○ Yes ○ No **Current Value:**	**Do you hold any individual investment account(s)?** ○ Yes ○ No **Current Value:**
Do you hold assets in a savings account at a bank or credit union? ○ Yes ○ No **Current Value:**	**Do you hold assets in a savings account at a bank or credit union?** ○ Yes ○ No **Current Value:**
Do you hold any annuity contracts? ○ Yes ○ No **Current Value:** ○ Individual ○ Joint	**Do you hold any annuity contracts?** ○ Yes ○ No **Current Value:** ○ Individual ○ Joint

Do you hold any joint investment accounts? ○ Yes ○ No **Current Sum Value:**

Do you hold CDs at a bank or Credit Union? ○ Yes ○ No **Current Sum Value:**

Do you hold any other financial accounts (i.e. Trust, 529 Plan, etc.)? ○ Yes ○ No **Current Value:**
Types of accounts/purposes for accounts:

INSURANCE POLICIES

GPM CLIENT 1	GPM CLIENT 2 *(if applicable)*
Do you have life insurance contracts with cash value or a living benefit? ○ Yes ○ No **Current Cash Value(s):**	**Do you have life insurance contracts with cash value or a living benefit?** ○ Yes ○ No **Current Cash Value(s):**
Do you have long-term care insurance? ○ Yes ○ No **Annual Cost:** **Anticipated Living Benefit:**	**Do you have long-term care insurance?** ○ Yes ○ No **Annual Cost:** **Anticipated Living Benefit:**

DEBT/LOAN CONSIDERATIONS

Type of Debt:	**Monthly Expense:** **Outstanding Balance:**	**Interest %:**	**Est. Payoff Date:**
Type of Debt:	**Monthly Expense:** **Outstanding Balance:**	**Interest %:**	**Est. Payoff Date:**
Type of Debt:	**Monthly Expense:** **Outstanding Balance:**	**Interest %:**	**Est. Payoff Date:**
Type of Debt:	**Monthly Expense:** **Outstanding Balance:**	**Interest %:**	**Est: Payoff Date:**

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FUTURE PLANNING

Do you anticipate helping to pay for college or education expenses for a child or grandchild in the future and/or do you maintain/contribute to a 529 Plan for the benefit of another? ○ Yes ○ No

Are you actively financially contributing to the care of an aging parent or do you intend to do so in the future? ○ Yes ○ No

Do you anticipate regularly helping to support an adult child or children financially? ○ Yes ○ No

Do you intend to claim your own Social Security benefit or the benefit of a former or late spouse?
○ Collect Own ○ Collect Another ○ Unsure

GOALS, PLANS, FUTURE PURSUITS

Below are listed examples of goals some people desire to pursue in retirement. Please indicate which may be applicable to your situation and give some detail as to how you hope to pursue each.

(Additional space to elaborate as desired on page 4.)

Home repairs/renovations or new home (please provide city/state if new home):

New/Replacement vehicles (include estimated cost/anticipated frequency of replacement):

Travel (regular or special trips):

Occasional or ongoing expenses for children (i.e. wedding, housing expenses, etc.):

Charitable giving:

Start a new business:

Start or build on hobby/collection:

Vacation home (include location/estimate of cost):

Other intended goals, plans, pursuits:

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DOCUMENT PLANNING CHECKLIST

Please gather the below documents to best complete your personal Goal Plan.
Please place CHECK beside documents you are submitting. Thank you!

☐ **Goal Planning and Monitoring Personal Information Form** *(this packet)*

☐ **Detailed Budget Worksheet** *(provided to you)*

☐ Personal income estimates/statements from the **Social Security Administration** website ***www.ssa.gov***

☐ Recent investment account statements for **employer-sponsored retirement plans and/or pensions**

☐ Recent investment account statements for **IRAs** *(If held at Raymond James, this is not necessary)*

☐ Recent investment account statements for **ROTH IRAs** *(If held at Raymond James, this is not necessary)*

☐ Recent investment account statements for **individual** accounts *(If held at Raymond James, this is not necessary)*

☐ Recent investment account statements for **joint accounts** *(If held at Raymond James, this is not necessary)*

☐ Recent **CD account** statements *(If held at Raymond James, this is not necessary)*

☐ Recent statements for **annuities and/or cash valued insurance policies** *(If held at Raymond James, this is not necessary)*

☐ Recent investment account statements for **trust accounts** *(If held at Raymond James, this is not necessary)*

☐ Recent investment account statements for **college savings accounts** *(If held at Raymond James, this is not necessary)*

You may return this form and other forms/statements in whatever format is easiest for you including fax 717-848-4924, securely e-mailing documents, uploading to the Investor Access Client Vault, or by dropping it off to our branch office at 1605 East Market Street, York, PA 17403.
Thank you for taking the time to complete this packet.

Additional Notes to Team