

# Raymond James Goal Planning & Monitoring Fact-Finder

IT'S TIME TO DESIGN YOUR  
PERSONALIZED FINANCIAL PLAN



## PERSONAL INFORMATION

Description	Client (C)		Co-Client (Co.)	
Name				
Date of Birth	/	/	/	/
Employment Status	<input type="checkbox"/> Employed	<input type="checkbox"/> Retired	<input type="checkbox"/> Employed	<input type="checkbox"/> Retired
Income	\$		\$	
Desired Retirement Age				
Essential Living Expenses in Retirement	\$ _____/month		or	\$ _____/year

## DESIRED GOALS

Name & Description of Goal	Start Year	Dollar Amount	How Often (Yearly, monthly, etc.)
New Car		\$	
College/University		\$	
Major Purchase		\$	
New Home / Home Improvement		\$	
Travel		\$	
Family Care		\$	
Wedding		\$	
Other		\$	

## INVESTMENT ASSETS & SAVINGS – **Bring last statement**

Account Description	Client	Co-Client
	Current Value	Current Value
Bank Account	\$	\$
Individual Brokerage Account	\$	\$
Joint Brokerage Account	\$	\$
Traditional IRA	\$	\$
Roth IRA	\$	\$
SEP IRA	\$	\$
529 Account	\$	\$
401(k) or other retirement savings plan	\$	\$

## RETIREMENT INCOME SOURCES – **Bring Social Security Statement**

Description	Recipient		Amount	Starts	Ends	Inflation Adjustment	Survivor Pension %
	C	Co					
Pension	<input type="checkbox"/>	<input type="checkbox"/>	\$			%	%
Royalties	<input type="checkbox"/>	<input type="checkbox"/>	\$			%	%
Rental Income	<input type="checkbox"/>	<input type="checkbox"/>	\$			%	%
Other	<input type="checkbox"/>	<input type="checkbox"/>	\$			%	%

## OTHER ASSETS

Asset Description	Owner	Current Value
Home		\$
Rental Home/Investment Properties		\$
Business		\$
Other		\$

## LIABILITIES

Description	Original Balance	Interest Rate	Current Balance	Monthly Payment
Mortgage	\$	%	\$	\$
Line of Credit	\$	%	\$	\$
Credit Cards	\$	%	\$	\$
Other	\$	%	\$	\$

## INSURANCE – **Bring policy and last statement**

Description	Client Premium	Client Coverage	Co-Client Premium	Co-Client Coverage
Health	\$	\$	\$	\$
Life	\$	\$	\$	\$
Long Term Care	\$	\$	\$	\$
Other	\$	\$	\$	\$

## OTHER CONTACTS

Name	Company	Contact Information
CPA		
Estate Planning Attorney		
Other		

## RISK TOLERANCE

On a scale of 1 to 100 (1=lowest, 100=highest), how would you rate your willingness to take risk with your investments?

Client \_\_\_\_\_

Co-Client \_\_\_\_\_

## **IMPORTANT DOCUMENTS TO BRING:**

- Social Security statement(s) ([www.ssa.gov](http://www.ssa.gov))
- Disability statement(s)
- Investment / Brokerage / Bank statement(s)
- LTC statement(s)
- Employer retirement plan statement(s)
- Pension statement(s)
- Insurance Policies
- Annuity statement(s)

## RAYMOND JAMES®

Securities offered through Raymond James Financial Services, Inc., member FINRA/SIPC. Investment advisory services offered through Raymond James Financial Services Advisors, Inc. Oak Knoll Financial Strategies is not a registered broker/dealer and is independent of Raymond James Financial Services. 205 S Water St // Wilmington, IL 60481 // P 815.476.2105 // TF 800.404.2105 These images are reproduced from the Goal Planning & Monitoring financial planning software, ©PIETech, Inc. Used with permission. All rights reserved. 18-BR3DT-0093 TA 10/18