

# PERSONAL FINANCIAL PROFILE

Confidential



- ⇒ The first step in achieving your investment goals is to define where you are now.
- ⇒ The Personal Financial Profile will survey your current assets, liabilities and consider your goals and objectives. This will enable us to design a Financial

Client Name (s): \_\_\_\_\_

Address: \_\_\_\_\_

Cell Phone #: \_\_\_\_\_

Business Phone #: \_\_\_\_\_

Home Phone # : \_\_\_\_\_

Email (s): \_\_\_\_\_

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**I: PERSONAL DATA**

Client Name: \_\_\_\_\_

Date of Birth: \_\_\_\_\_ SSN: \_\_\_\_\_

Employer: \_\_\_\_\_ Position: \_\_\_\_\_

Spouse Name: \_\_\_\_\_

Date of Birth: \_\_\_\_\_ SSS: \_\_\_\_\_

Employer: \_\_\_\_\_ Position: \_\_\_\_\_

Child's Name: \_\_\_\_\_ Date of Birth: \_\_\_\_\_ SSN: \_\_\_\_\_

Child's Name: \_\_\_\_\_ Date of Birth: \_\_\_\_\_ SSN: \_\_\_\_\_

Child's Name: \_\_\_\_\_ Date of Birth: \_\_\_\_\_ SSN: \_\_\_\_\_

**II: BALANCE SHEET INFORMATION (Be as descriptive as possible)**

**A: ASSETS**

**Current Savings** (Bank Accounts, Money Market, CDs)

Description	Valuation	Title (Joint/Single)

**Current Securities** (Bonds, Stocks, Mutual Funds, Annuities, etc.)

**Property** (Real Estate, Raw Land, etc.)

Description	Valuation	Title (Joint/Single)

Description	Valuation	Title (Joint/Single)

**II Balance Sheet A: ASSETS** Continued  
**Personal Retirement Accounts (IRA, SEP, etc.)**

Description	Valuation	Vested Interest	Title

**Employer Sponsored (401(k)s, Pensions, Profit Sharing, Stock Plans– ESOP)**

Description	Valuation	Vested Interest	Title

**II Balance Sheet B: LIABILITIES**

**Short Term (Less than 5 years: Home Equity Loan, Car Loan, Credit Cards, etc.)**

Description	Balance	Rate	Monthly Payment	Title

**Long Term (More than 5 years: Mortgages etc.)**

Description	Balance	Rate	Monthly Payment	Title







## Document Checklist

- Completed Profile
- Most Recent Tax Return
- Investment Account Statements (401(k)'s, IRA's, Brokerage)
- Most Recent Pay Stub(s)
- Estate Planning Docs (Wills, Trusts, Insurance)
- Any Other Information Pertinent to this Analysis