You make the big decision.
We do the rest.

After all the thought and hard work you've put into making the decision to partner with a new firm, the move itself might seem like a daunting prospect. But it doesn't have to be – especially when you have one of the largest, most experienced transition teams in the industry supporting you through it. Since 1999, Raymond James Transition Management has been helping advisors make smooth, seamless and successful transitions – providing everything from onsite support to personalized transition timelines. Here's how we can help you do the same.

1

DAY 1

MAKE YOUR COMMITMENT AND MEET YOUR CONSULTANTS AND RECEIVE EMBARK TRANSITION GUIDE

5

DAY 5

Create a technology plan with the help of your technology consultant

10

DAY 10 – 20

Complete product due diligence

Work with a third party or with our internal marketing agency to develop your brand and create marketing materials

Work with your technology consultant to order the equipment you will need

Complete account and forms orientations

Work with your consultant to create transition packages for your clients

20

DAY 20

DAY 30

OFFICIALLY PARTNER WITH OR JOIN RAYMOND JAMES

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35

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DAY 35 – 40

Collaborate with your onsite support team to begin the account opening and transfer process

YOUR TRANSITION TEAM

FOR EMPLOYEE ADVISORS

Your Branch Support

Naturally, your branch manager and operations manager will be your go-to resource for questions, concerns and support once your transition is complete, but he or she will also be there for you at every step through the transition process itself.

FOR INDEPENDENT ADVISORS

Your Independent Business Consultant

If you're new to independence, your independent business consultant is your new best friend. Your consultant will provide personalized guidance at every step as you establish and build your practice, helping you make the big decisions – from choosing a business entity to selecting an insurance plan – and manage the little details.

FOR INDEPENDENT RIAs

As a registered investment advisor, one of the first things you will do as part of your transition process is to establish your RIA. Before, or in the early stages of your transition team consultation with Raymond James, you will also need to engage an independent compliance or legal consultant to set up your RIA entity.

FOR EVERY ADVISOR

Your Transition Consultants

Your transition consultant is your home office liaison and point of contact for all things operational. Your transition technology consultant is responsible for developing and implementing your customized technology plan. Together, they are a dedicated team with one goal: your successful transition.

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DAY 45 – 60

Start account services integration and your post-affiliation education

Complete your Technology Service Center graduation

Need to trim time?

Ask about our streamlined 60-day and 30-day transition timelines.

DAY 90

90

CELEBRATE YOUR SUCCESSFULLY TRANSITIONED BUSINESS AND THRIVE WITH YOUR NEW PARTNERSHIP