After all the thought and hard work you’ve put into making the decision to partner with a new firm, the move itself might seem like a daunting prospect. But it doesn’t have to be – especially when you have one of the largest, most experienced transition teams in the industry supporting you through it. Since 1999, Raymond James Transition Management has been helping advisors make smooth, seamless and successful transitions – providing everything from onsite support to personalized transition timelines. Here’s how we can help you do the same.

**YOUR TRANSITION TEAM**

**MAKE YOUR COMMITMENT AND MEET YOUR CONSULTANTS**

1. **DAY 1**
   
   Your Transition Consultants

   Your transition consultant is your home office liaison and point of contact for all things operational. Your transition technology consultant is responsible for developing and implementing your customized technology plan. Together, they are a dedicated team with one goal: your successful transition.

2. **DAY 5**
   
   Create technology plan with the help of your technology consultant

   Work with a third party to develop a technology plan to support your new firm.

3. **DAY 10 - 20**
   
   Complete product due diligence

   Work with your technology consultant to order equipment and verify it is compatible with your operations.

4. **DAY 20 - 25**
   
   Start account services integration and your post-affiliation education

   Complete your Technology Service Center graduation.

5. **DAY 30**
   
   Officially partner with or join Raymond James

   Collaborate with your on-site support team to begin the account opening and transfer process.

6. **DAY 35 - 40**
   
   Collaborate with your independent compliance or legal consultant

   Collaborate with your on-site support team to begin the account opening and transfer process.

7. **DAY 45 - 60**
   
   Celebrate your successfully transitioned business and thrive with your new partnership

   Need to talk to us? Ask about our streamlined 60-day and 30-day transition timelines.

**FOR EMPLOYEE ADVISORS**

Your Branch Support

Naturally, your branch manager and operations manager will be your go-to resource for questions, concerns and support throughout your transition process. They are there to help you every step of the way.

**FOR INDEPENDENT ADVISORS**

Your Independent Business Consultant

If you’re new to independence, your independent business consultant is your new best friend. Your consultant will provide personalized guidance at every step as you establish and build your practice, helping you make the big decisions – from choosing a business entity to selecting an insurance plan – and manage the little details.

**FOR INDEPENDENT RIAs**

Your RIA Consultant

As a registered investment advisor, one of the first things you’ll do after your transition comes is establish your RIA. Before, or in the early stages of your transition team consultation, your RIA consultant will work with you to ensure your RIA is compliant and ready to be launched.

**FOR EVERY ADVISOR**

Your Transition Consultants

Your transition consultant will work with your on-site support team to ensure your successful transition is seamless.