

Raymond James MiFID II RTS 28 Reporting for 01/01/2022 to 31/12/2022**MiFID II RTS 28 Report (Professional Client)**

Class of Instrument	Equities - Shares & Depositary Receipts (T12)				
Notification if <1 average trade per business day in the previous year	No				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
RAYMOND JAMES & ASSOCIATES, INC. U4ON QX15J3R08XCCKE979	85.51 %	56.04 %	0.00 %	52.05 %	0.00 %
COWEN AND COMPANY, LLC 549300WR155U7DVMIW58	11.16 %	40.37 %	0.00 %	10.19 %	0.00 %
LINC	3.05 %	3.36 %	0.00 %	0.00 %	0.00 %
MCAP	0.16 %	0.01 %	0.00 %	0.00 %	0.00 %
PURE	0.12 %	0.22 %	0.00 %	0.00 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

Raymond James MiFID II RTS 28 Reporting for 01/01/2022 to 31/12/2022**MiFID II RTS 28 Report (Professional Client)**

Class of Instrument	Equities - Shares & Depositary Receipts (T34)				
Notification if <1 average trade per business day in the previous year	No				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
RAYMOND JAMES & ASSOCIATES, INC. U4ON QX15J3RO8XCCKE979	96.56 %	90.76 %	0.00 %	28.77 %	0.00 %
LINC	1.70 %	3.25 %	0.00 %	0.00 %	0.00 %
COWEN AND COMPANY, LLC 549300WR155U7DVMIW58	1.10 %	2.26 %	0.00 %	47.05 %	0.00 %
PURE	0.60 %	3.72 %	0.00 %	0.00 %	0.00 %
BNPX	0.03 %	0.01 %	0.00 %	18.18 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

Raymond James MiFID II RTS 28 Reporting for 01/01/2022 to 31/12/2022**MiFID II RTS 28 Report (Professional Client)**

Class of Instrument	Equities - Shares & Depositary Receipts (T56)				
Notification if <1 average trade per business day in the previous year	No				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
RAYMOND JAMES & ASSOCIATES, INC. U4ON QX15J3R08XCCKE979	96.92 %	91.46 %	0.00 %	27.75 %	0.00 %
COWEN AND COMPANY, LLC 549300WR155U7DVMIW58	1.65 %	2.94 %	0.00 %	48.62 %	0.00 %
LINC	0.79 %	0.92 %	0.00 %	0.00 %	0.00 %
PURE	0.57 %	4.64 %	0.00 %	0.00 %	0.00 %
ALGO	0.03 %	0.01 %	0.00 %	0.00 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

Raymond James MiFID II RTS 28 Reporting for 01/01/2022 to 31/12/2022**MiFID II RTS 28 Report (Professional Client)**

Class of Instrument	Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)				
Notification if <1 average trade per business day in the previous year	No				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
RAYMOND JAMES & ASSOCIATES, INC. U4ON QX15J3R08XCCKE979	96.68 %	98.41 %	0.00 %	45.94 %	0.00 %
COWEN AND COMPANY, LLC 549300WR155U7DVMIW58	2.36 %	0.75 %	0.00 %	37.50 %	0.00 %
LINC	0.93 %	0.08 %	0.00 %	0.00 %	0.00 %
PURE	0.02 %	0.75 %	0.00 %	0.00 %	0.00 %
BNPX	0.01 %	0.01 %	0.00 %	100.00 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

Raymond James MiFID II RTS 28 Reporting for 01/01/2022 to 31/12/2022**MiFID II RTS 28 Report (Professional Client)**

Class of Instrument	Other instruments				
Notification if <1 average trade per business day in the previous year	Yes				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
RAYMOND JAMES & ASSOCIATES, INC. U4ON QX15J3R08XCCKE979	77.17 %	9.68 %	0.00 %	0.00 %	0.00 %
LINC	22.83 %	90.32 %	0.00 %	0.00 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

Raymond James MiFID II RTS 28 Reporting for 01/01/2022 to 31/12/2022**MiFID II RTS 28 Report (Professional Client)**

Class of Instrument	Securitized Derivatives, Warrants and Certificate Derivatives				
Notification if <1 average trade per business day in the previous year	No				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
RAYMOND JAMES & ASSOCIATES, INC. U4ON QX15J3R08XCCKE979	100.00 %	100.00 %	0.00 %	58.31 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

Raymond James MiFID II RTS 28 Reporting for 01/01/2022 to 31/12/2022**MiFID II RTS 28 Report (Professional Client)**

Class of Instrument	Structured finance instruments				
Notification if <1 average trade per business day in the previous year	Yes				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
LINC	50.04 %	25.00 %	0.00 %	0.00 %	0.00 %
COWEN AND COMPANY, LLC 549300WR155U7D VMIW58	49.96 %	75.00 %	0.00 %	0.00 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					