

RAYMOND JAMES

EURO EQUITIES

Raymond James Euro Equities - MiFID II RTS 28 Reporting for 01/01/2019 to 31/12/2019

MiFID II RTS 28 Report : Equities - Shares & Depositary Receipts (T12)

Client type	Interaction	Class of Instrument	Period Start	Period End	Notification if <1 average trade per business day in the previous year
Professional	Placement/transmission	Equities - Shares & Depositary Receipts (T12)	01/01/2019	31/12/2019	No
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
RAYMOND JAMES & ASSOCIATES, INC. U40N QX15J3R08XCKE979	94.31 %	71.80 %	6.90 %	5.54 %	0.00 %
COWEN AND COMPANY LLC 549300WR155U7DVMIW58	3.58 %	18.43 %	80.90 %	8.10 %	0.00 %
SOCIETE GENERALE O2RNE8IBXP4R0TD8PU41	2.07 %	9.43 %	42.60 %	48.91 %	0.00 %

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Raymond James Euro Equities - MiFID II RTS 28 Reporting for 01/01/2019 to 31/12/2019

MiFID II RTS 28 Report : Equities - Shares & Depositary Receipts (T34)

Client type	Interaction	Class of Instrument	Period Start	Period End	Notification if <1 average trade per business day in the previous year
Professional	Placement/transmission	Equities - Shares & Depositary Receipts (T34)	01/01/2019	31/12/2019	No
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
RAYMOND JAMES & ASSOCIATES, INC. U40N QX15J3R08XCKE979	52.80 %	78.68 %	19.35 %	14.61 %	0.00 %
COWEN AND COMPANY LLC 549300WR155U7DVMIW58	31.67 %	6.78 %	48.85 %	26.05 %	0.00 %
SOCIETE GENERALE O2RNE8IBXP4R0TD8PU41	15.47 %	14.45 %	47.33 %	48.31 %	0.00 %

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EURO EQUITIES

Raymond James Euro Equities - MiFID II RTS 28 Reporting for 01/01/2019 to 31/12/2019

MiFID II RTS 28 Report : Equities - Shares & Depositary Receipts (T56)

Client type	Interaction	Class of Instrument	Period Start	Period End	Notification if <1 average trade per business day in the previous year
Professional	Placement/transmission	Equities - Shares & Depositary Receipts (T56)	01/01/2019	31/12/2019	No
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
RAYMOND JAMES & ASSOCIATES, INC. U40N QX15J3R08XCKE979	63.20 %	58.65 %	25.78 %	19.89 %	0.00 %
COWEN AND COMPANY LLC 549300WR155U7DVMIW58	33.65 %	37.73 %	70.78 %	11.83 %	0.00 %
SOCIETE GENERALE O2RNE8IBXP4R0TD8PU41	2.79 %	3.35 %	51.00 %	47.08 %	0.00 %