

RAYMOND JAMES

EURO EQUITIES

Raymond James Euro Equities - MiFID II RTS 28 Reporting for 01/01/2019 to 31/12/2019

MiFID II RTS 28 Report : Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)

Client type	Interaction	Class of Instrument	Period Start	Period End	Notification if <1 average trade per business day in the previous year
Professional	Placement/transmission	Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)	01/01/2019	31/12/2019	No
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
RAYMOND JAMES & ASSOCIATES, INC. U40N QX15J3R08XCKE979	51.55 %	44.34 %	8.71 %	7.31 %	0.00 %
COWEN AND COMPANY LLC 549300WR155U7DVMIW58	46.43 %	55.09 %	65.54 %	15.74 %	0.00 %
SOCIETE GENERALE O2RNE8IBXP4R0TD8PU41	1.81 %	0.30 %	43.90 %	51.22 %	0.00 %