

Raymond James MiFID II RTS 28 Reporting for 01/01/2020 to 31/12/2020

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T12)				
Notification if <1 average trade per business day in the previous year	No				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
COWEN AND COMPANY, LLC 549300WR155U7DVMIW58	94.32 %	81.37 %	1.83 %	57.58 %	0.00 %
RAYMOND JAMES & ASSOCIATES, INC. U4ONQX15J3RO8XCCKE979	5.68 %	18.63 %	0.21 %	41.97 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

Raymond James MiFID II RTS 28 Reporting for 01/01/2020 to 31/12/2020

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T34)				
Notification if <1 average trade per business day in the previous year	No				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
RAYMOND JAMES & ASSOCIATES, INC. U4ONQX15J3R08XCCKE979	99.78 %	99.56 %	1.00 %	37.93 %	0.00 %
COWEN AND COMPANY, LLC 549300WR155U7DVMIW58	0.22 %	0.44 %	0.00 %	46.45 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

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MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T56)				
Notification if <1 average trade per business day in the previous year	No				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
RAYMOND JAMES & ASSOCIATES, INC. U4ONQX15J3R08XCCKE979	99.94 %	99.92 %	1.99 %	42.24 %	0.00 %
COWEN AND COMPANY, LLC 549300WR155U7DVMIW58	0.02 %	0.04 %	0.00 %	33.09 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					