

RAYMOND JAMES®

RTS 28 DISCLOSURES – 2019

EQUITIES

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Placement/transmission	Equities - Shares & Depository Receipts (T12)	01/01/2019	31/12/2019	Y
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
RAYMOND JAMES & ASSOCIATES, INC. U4ONQX15J3RO8XCCKE979	79.61	65.17	2.07	2.43	0
COWEN AND COMPANY LLC 549300WR155U7DVMIW58	13.75	32.01	66.28	9.87	0
SOCIETE GENERALE O2RNE8IBXP4R0TD8PU41	6.62	2.79	9.52	5.34	0

Raymond James Financial International Limited

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Authorised and regulated by the Financial Conduct Authority

Company No. 3127076

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Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Placement/transmission	Equities - Shares & Depository Receipts (T34)	01/01/2019	31/12/2019	N
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
RAYMOND JAMES & ASSOCIATES, INC. U4ONQX15J3RO8XCKE979	61.03	67.35	23.08	23.03	0
COWEN AND COMPANY LLC 549300WR155U7DVMIW58	36.1	28.92	65.76	19.44	0
SOCIETE GENERALE O2RNE8IBXP4R0TD8PU41	2.5	3.52	43.38	54.19	0

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Professional	Placement/transmission	Equities - Shares & Depositary Receipts (T56)	01/01/2019	31/12/2019	N
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
COWEN AND COMPANY LLC 549300WR155U7DVMIW58	58.67	62.1	66.87	18	0
RAYMOND JAMES & ASSOCIATES, INC. U4ONQX15J3RO8XCCKE979	38.01	35.91	54.04	21.84	0
SOCIETE GENERALE O2RNE8IBXP4R0TD8PU41	1.5	1.67	43.75	54.72	0

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