

RAYMOND JAMES®

RTS 28 DISCLOSURES – 2018

EQUITIES

| Client type | Interaction | Class of Instrument | Period start | Period end | Notification if <1 average trade per business day in the previous year |
|---|--|--|------------------------------|---------------------------------|--|
| Professional | Placement/transmission | Equities - Shares & Depository Receipts (T12) | 01/01/2018 | 31/12/2018 | Y |
| Top five investment firms ranked in terms of trading volumes (descending order) | Proportion of volume traded as a percentage of total in that class | Proportion of orders executed as percentage of total in that class | Percentage of passive orders | Percentage of aggressive orders | Percentage of directed orders |
| RaymondJamesUS | 85.66 | 87.5 | 22.42 | 36.32 | 0 |
| SOCIETE GENERALE O2RNE8IBXP4R0TD8PU41 | 14.34 | 12.5 | 32.55 | 64.98 | 0 |

| Client type | Interaction | Class of Instrument | Period start | Period end | Notification if <1 average trade per business day in the previous year |
|---|--|--|------------------------------|---------------------------------|--|
| Professional | Placement/transmission | Equities - Shares & Depository Receipts (T34) | 01/01/2018 | 31/12/2018 | N |
| Top five investment firms ranked in terms of trading volumes (descending order) | Proportion of volume traded as a percentage of total in that class | Proportion of orders executed as percentage of total in that class | Percentage of passive orders | Percentage of aggressive orders | Percentage of directed orders |

Raymond James Financial International Limited

Broadwalk House // 5 Appold Street // London EC2A 2AG // United Kingdom

Telephone: +44 (0)203 798 5600 // Facsimile: +44 (0)203 798 5649

Authorised and regulated by the Financial Conduct Authority

Company No. 3127076

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|--|-------|-------|-------|-------|---|
| RaymondJamesUS | 91.07 | 88.59 | 23.14 | 16.59 | 0 |
| SOCIETE GENERALE O2RNE8IBXP4R0TD8PU41 | 8.93 | 11.41 | 44.46 | 50.58 | 0 |

| Client type | Interaction | Class of Instrument | Period start | Period end | Notification if <1 average trade per business day in the previous year |
|---|--|--|------------------------------|---------------------------------|--|
| Professional | Placement/transmission | Equities - Shares & Depositary Receipts (T56) | 01/01/2018 | 31/12/2018 | N |
| Top five investment firms ranked in terms of trading volumes (descending order) | Proportion of volume traded as a percentage of total in that class | Proportion of orders executed as percentage of total in that class | Percentage of passive orders | Percentage of aggressive orders | Percentage of directed orders |
| RaymondJamesUS | 97.15 | 96.67 | 51.45 | 21.15 | 0 |
| SOCIETE GENERALE O2RNE8IBXP4R0TD8PU41 | 2.85 | 3.33 | 42.57 | 56.14 | 0 |

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