

RAYMOND JAMES®

RTS 28 Disclosure – 2019

DEBT INSTRUMENTS

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Placement/transmission	Debt instruments, Bonds	01/01/2019	31/12/2019	Y
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
RAYMOND JAMES & ASSOCIATES, INC. U4ONQX15J3RO8XCCKE979	61.82	66.67	0	0	0
COWEN AND COMPANY LLC 549300WR155U7DVMIW58	38.18	33.33	0	0	0

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Authorised and regulated by the Financial Conduct Authority

Company No. 3127076