A guide to your executive overview statement

This streamlined statement helps you review your portfolio’s progress toward your goals and offers important details about your accounts.

The Raymond James Executive Overview Statement provides consolidated information regarding your overall portfolio, as well as important details regarding specific accounts and investments. This easy-to-read statement provides details with your total financial picture and helps track your portfolio’s progress between periodic review meetings with your financial advisor.

THE INFORMATION YOU NEED, WHEN YOU NEED IT

Your statement helps you and your advisor determine where you stand and if you’re on track to achieve your goals. It also serves as a starting point for conversations with your advisor to ensure you have the information to best meet your financial objectives.

As an added convenience, you can receive your statements and other account documents electronically or in the mail. You can indicate your document delivery preferences through Client Access, a secure online system for your Raymond James account information. By choosing electronic delivery, you’ll have 24/7 access to your client documents as soon as they become available. Not only will you be able to view your documents sooner, but also your documents will remain available in an online archive.

STATEMENT DELIVERY OPTIONS

- **ONLINE ONLY**
  - Get your monthly statements and an annual summary electronically.

- **PAPER ANNUAL**
  - Get monthly electronic statements plus an annual paper summary.

- **PAPER QUARTERLY**
  - Get monthly electronic statements plus a quarterly paper summary.

- **PAPER**
  - Monthly statements are mailed and are also available online, with an electronic annual summary.
LINKED ACCOUNT SUMMARY

Clients with multiple Raymond James accounts can take advantage of statement linking that consolidates their information into a linked account summary. This service combines information about your various accounts into a comprehensive report. If you would like to take advantage of this offering and link your accounts, please contact your financial advisor.

1. **Contact information** appears in the upper-right corner of the summary to help you contact your advisor or client services.

2. The **activity** overview totals any deposits, income, withdrawals and expenses made during the statement period and calculates the difference from the previous statement so you can quickly see how and why your portfolio value changed.

3. The **linked accounts** section provides the description and numbers of your accounts and reference page numbers, as well as the value and estimated annual income for individual accounts and your overall portfolio. If there are important communications or inserts included with the statement, these will be listed here.
ACCOUNT SUMMARY

4. Each individual account receives its own account summary that mirrors the information found in the linked account summary.

IMPORTANT MESSAGES

5. The important message section contains essential information related to your account(s).
   - Your primary and secondary objectives that were determined in coordination with your financial advisor help determine if your investments are in line with your expectations.
   - Year-to-date realized gain/loss summary shows short- and long-term results from the sale of securities. The information is updated each quarter and again toward the end of the year. In addition, your advisor can update your account to display the realized gain/loss monthly.
   - If applicable, you will see information related to open orders and unsettled trades.
   - If applicable, you’ll see information related to retirement contributions, distributions, required minimum distributions and beneficiaries.
   - Additional statement recipients, if any, will be listed.

YOUR PORTFOLIO

6. This section lists all relevant details of each security in your account and how it’s allocated. Categories include:
   - Cash & Cash Alternatives
   - Equities
   - Mutual Funds
   - Exchange Traded Funds
   - Fixed Income
   - Annuities
   - Alternative Investments
   - Life Insurance
YOUR ACTIVITY

7. An in-depth look at the account activity that occurred during the statement reporting period, conveniently sorted by type so you can easily identify specific entries.

UNDERSTANDING YOUR STATEMENT

8. Here you’ll find information directly related to your relationship with your financial advisor and Raymond James, as well as details regarding specific investments you hold.

CAPITAL ACCESS

If you have a Capital Access account, your statement also will report details specific to the features of that account.

9. Your activity reflects deposits and withdrawals made from the account. Your personalized check coding information also is provided for easy reconciliation.

10. The check transaction recaps display any relevant check writing activity.

11. Electronic deposit and withdrawal transactions are provided to consolidate those transactions into an easy-to-review list.

12. If you participate in the debit card program, detailed transactions will be listed.

Your statement ultimately serves to add value to the relationship you’ve built with your Raymond James advisor. It’s not just knowing how much you have, but also where you’re headed. If you have any questions regarding your statement or would like assistance to better understand what’s included, please contact your financial advisor or Raymond James client services at 800.647.SERV (7378).